## An introduction to Yoneda structures

Paul-André Melliès

CNRS, Université Paris Denis Diderot

Groupe de travail

Catégories supérieures, polygraphes et homotopie

Paris 21 May 2010

## **Bibliography**

Ross Street and Bob Walters Yoneda structures on 2-categories Journal of Algebra 50:350-379, 1978

Mark Weber Yoneda structures from 2-toposes Applied Categorical Structures 15:259-323, 2007

# **Covariant and contravariant presheaves**

A few opening words on Isbell conjugacy

## Ideal completion

Every partial order A generates a free complete  $\vee$ -lattice  $\mathscr{P}A$ 

$$A \longrightarrow \mathscr{P}A$$

whose elements are the downward closed subsets of A, with

$$\varphi \leq \mathscr{P}_A \quad \psi \qquad \Longleftrightarrow \qquad \varphi \subseteq \psi.$$

$$\mathcal{P}A = A^{op} \Rightarrow \{0,1\}$$

## Free colimit completions of categories

Every small category  $\mathcal A$  generates a free cocomplete category  $\mathcal P \mathcal A$ 

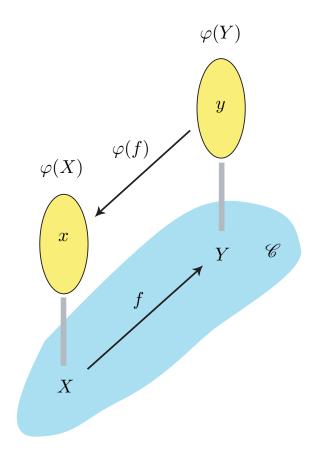
$$\mathcal{A} \longrightarrow \mathscr{P} \mathcal{A}$$

whose elements are the presheafs over  $\mathcal{A}$ , with

$$\rho \longrightarrow_{\mathscr{P}_{\mathcal{A}}} \psi \iff \varphi \xrightarrow{\text{natural}} \psi.$$

$$\mathscr{P}\mathcal{A} = \mathcal{A}^{op} \Rightarrow Set$$

## **Contravariant presheaves**



Replaces downward closed sets

## Filter completion

Every partial order A generates a free complete  $\wedge$ -lattice  $\mathcal{Q}A$ 

$$A \longrightarrow \mathcal{Q}A$$

whose elements are the upward closed subsets of A, with

$$\varphi \leq \mathcal{Q}_A \quad \psi \qquad \Longleftrightarrow \qquad \varphi \supseteq \psi.$$

$$\mathcal{Q}A = (A \Rightarrow \{0,1\})^{op}$$

## Free limit completions of categories

Every small category  $\mathcal{A}$  generates a free complete category  $\mathcal{Q} \mathcal{A}$ 

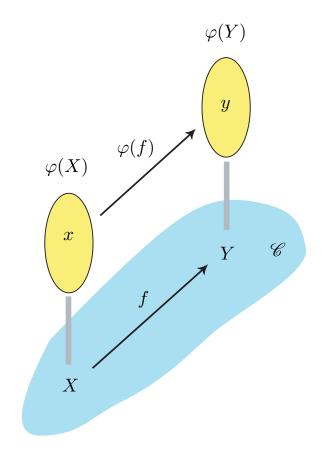
$$\mathcal{A} \longrightarrow \mathcal{Q}\mathcal{A}$$

whose elements are the covariant presheafs over  $\mathcal{A}$ , with

$$\varphi \longrightarrow_{\mathscr{Q}\mathcal{A}} \psi \iff \varphi \overset{natural}{\longleftarrow} \psi$$

$$\mathcal{Q}\mathcal{A} = (\mathcal{P}\mathcal{A}^{op})^{op} = (\mathcal{A} \Rightarrow Set)^{op}$$

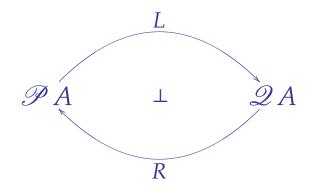
## **Covariant presheaves**



Replaces upward closed sets

### Related to the Dedekind-MacNeille completion

#### A Galois connection



$$L(\varphi) = \{ y \mid \forall x \in \varphi, x \leq_A y \}$$

$$R(\psi) = \{ x \mid \forall y \in \psi, x \leq_A y \}$$

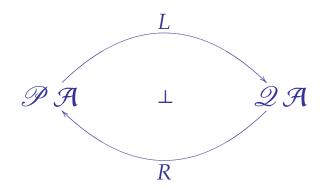
$$R(\psi) = \{ x \mid \forall y \in \psi, \ x \leq_A y \}$$

$$\varphi \subseteq R(\psi) \iff \forall x \in \varphi, y \in \psi, x \leq_A y \iff L(\varphi) \supseteq \psi$$

The completion keeps the pairs  $(\varphi, \psi)$  such that  $\psi = L(\varphi)$  and  $\varphi = R(\psi)$ 

## The Isbell conjugacy

#### An adjunction



$$L(\varphi) : Y \mapsto \mathscr{P} \mathcal{A}(\varphi, Y) = \int_{x \in \mathcal{A}} \varphi(X) \Rightarrow hom(X, Y)$$

$$R(\psi) : X \mapsto \mathcal{Q} \mathcal{A}(X, \psi) = \int_{Y \in \mathcal{A}} \psi(Y) \Rightarrow hom(X, Y)$$

$$\mathscr{P}\mathcal{A}(\varphi,R(\psi)) \cong \int_{X,Y\in\mathcal{A}} \varphi(X) \times \psi(Y) \Rightarrow hom(X,Y) \cong \mathscr{Q}\mathcal{A}(L(\varphi),\psi)$$

## Yoneda structures

An axiomatic approach by Street and Walters

#### General idea

Suppose a universe  $\mathcal{U}_1$  inside a larger universe  $\mathcal{U}_2$ .

- **Set** is the category of sets in the universe  $\mathcal{U}_1$ ,
- $\mathscr{CAT}$  is the 2-category of categories in the universe  $\mathscr{U}_2$ .

In particular, the category **Set** is an object of  $\mathscr{CAT}$ .

#### **Admissible functors**

An object  $\mathcal{A}$  of  $\mathcal{CAT}$  is called **admissible** when its homsets

$$\mathcal{A}(A,A')$$

are all in the category **Set**. More generally, an arrow in  $\mathscr{CAT}$ 

$$F : \mathcal{A} \longrightarrow \mathcal{B}$$

is called admissible when the homsets

$$\mathcal{B}(FA,B)$$

are all in the category **Set**.

#### Yoneda structures

A Yoneda structure in a 2-category  ${\mathscr K}$  is defined as

- a class of **admissible** arrows such that the composite arrow

$$\mathcal{A} \stackrel{F}{\longrightarrow} \mathcal{B} \stackrel{G}{\longrightarrow} \mathcal{C}$$

is admissible whenever the arrow

$$\mathcal{B} \longrightarrow \mathcal{C}$$

is admissible.

## Admissible objects

An object  $\mathcal{A}$  is called **admissible** when the identity arrow

 $id_{\mathcal{A}}$  :  $\mathcal{A}$   $\longrightarrow$   $\mathcal{A}$ 

is admissible.

In the case of the 2-category  $\mathscr{CAT}$  equipped with its Yoneda structure:

admissible objects = locally small categories

## Yoneda structures

– every admissible object

 $\mathcal{A}$ 

induces an admissible arrow

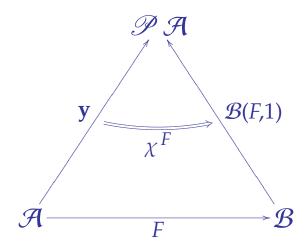
 $y_{\mathcal{H}}$  :  $\mathcal{H}$   $\longrightarrow$   $\mathscr{P}\mathcal{H}$ 

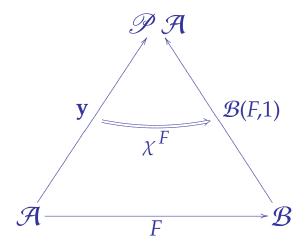
### Yoneda structures

- every admissible arrow

$$F : \mathcal{A} \longrightarrow \mathcal{B}$$

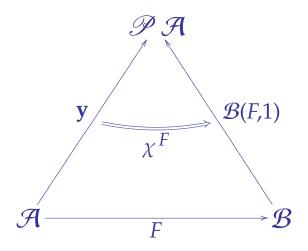
from an admissible object  $\mathcal {A}$  induces a diagram





$$\mathcal{B}(F,1)$$
 :  $b \mapsto \lambda a \cdot \mathcal{B}(Fa,b)$ 

$$\chi_{a_2}^F : \lambda a_1 . \mathcal{A}(a_1, a_2) \longrightarrow \lambda a_1 . \mathcal{B}(Fa_1, Fa_2)$$

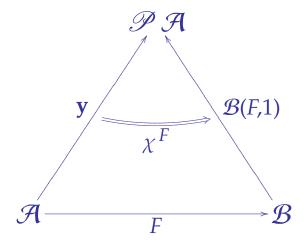


$$\mathcal{B}(F,1)$$
 :  $b \mapsto \lambda a \cdot \mathcal{B}(Fa,b)$ 

$$\chi^F_{a_1 a_2} : \mathcal{A}(a_1, a_2) \longrightarrow \mathcal{B}(Fa_1, Fa_2)$$

## **Axiom 1**

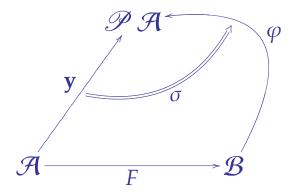
For  $\mathcal{A}$  and F accessible, the 2-cell



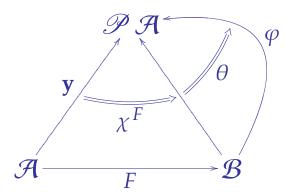
exhibits the arrow  $\mathcal{B}(F,1)$  as a left extension of  $y_{\mathcal{H}}$  along the arrow F.

## **Axiom 1**

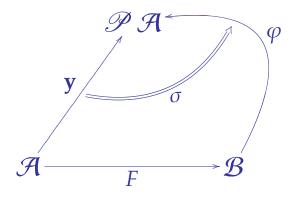
In other words, every 2-cell



factors uniquely as



#### A natural transformation

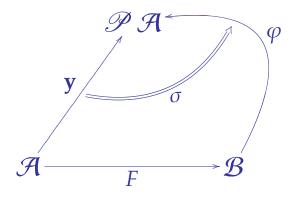


is the same thing as a family of functions

$$\sigma_{a_1 a_2} : \mathcal{A}(a_1, a_2) \longrightarrow \varphi(Fa_2)(a_1)$$

natural in  $a_1$  contravariantly and in  $a_2$  covariantly.

#### A natural transformation

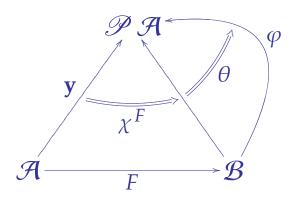


is the same thing as a family of functions

$$\sigma_{a_1 a_2} : \mathcal{A}(a_1, a_2) \longrightarrow \varphi(Fa_2)(a_1)$$

natural in  $a_1$  contravariantly and in  $a_2$  covariantly.

A natural transformation  $\theta$  in



is the same thing as a family of functions

$$\theta_{ab}$$
 :  $\mathcal{B}(Fa,b)$   $\longrightarrow$   $\varphi(b)(a)$ 

natural in a contravariantly and in b covariantly.

This means that every natural transformation

$$\sigma_{a_1 a_2} : \mathcal{A}(a_1, a_2) \longrightarrow \varphi(Fa_2)(a_1)$$

factors as

$$\chi^F_{a_1 a_2} : \mathcal{A}(a_1, a_2) \longrightarrow \mathcal{B}(Fa_1, Fa_2)$$

followed by

$$\theta_{a_1 F a_2} : \mathcal{B}(F a_1, F a_2) \longrightarrow \varphi(F a_2)(a_1)$$

for a unique natural transformation  $\theta$  which remains to be defined.

#### **Existence**

Given the natural transformation  $\sigma$ , the natural transformation

$$\theta_{ab}$$
 :  $\mathcal{B}(Fa,b)$   $\longrightarrow$   $\varphi(Fa)(b)$ 

transports every morphism

$$f : Fa \longrightarrow b$$

to the result of the action of f on the element

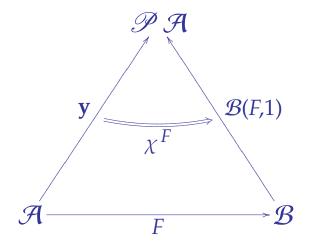
$$\sigma_{aa} (a \xrightarrow{id} a) \in \varphi(Fa)(a)$$

# **Uniqueness**

. . .

### Axiom 2

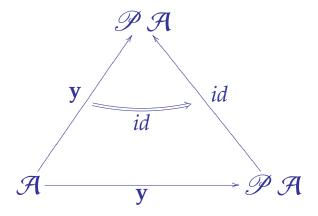
For  $\mathcal{A}$  and F accessible, the 2-cell



exhibits the arrow F as an absolute left lifting of  $y_{\mathcal{A}}$  through  $\mathcal{B}(F,1)$ .

## Axiom 3(i)

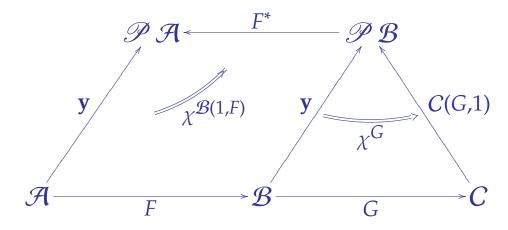
For  $\mathcal{A}$  accessible, the identity 2-cell



exhibits the identity arrow as a left extension of  $y_{\mathcal{A}}$  along  $y_{\mathcal{A}}$ .

## Axiom 3(ii)

For  $\mathcal{A}, \mathcal{B}, F, G$  accessible, the 2-cell



exhibits the arrow  $F^* \circ C(G, 1)$  as a left extension of  $y_{\mathcal{A}}$  along  $G \circ F$ .

### The inverse arrow

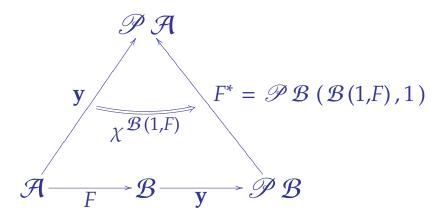
Given an arrow between admissible objects  $\mathcal{A}$  and  $\mathcal{B}$ 

$$F : \mathcal{A} \longrightarrow \mathcal{B}$$

the arrow

$$F^* : \mathscr{P} \mathscr{B} \longrightarrow \mathscr{P} \mathscr{A}$$

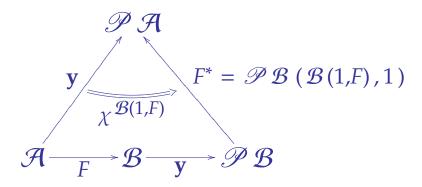
is defined as follows:



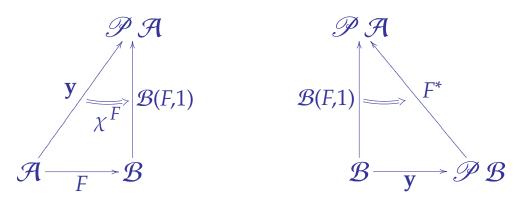
where  $\mathcal{B}(1,F)$  denotes the composite arrow  $\mathbf{y} \circ F$ .

## The inverse arrow

#### The 2-dimensional cell



factors as a pair of Kan extensions:



## **Existential image**

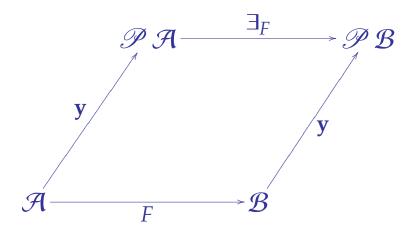
Given an arrow between admissible objects  ${\mathcal A}$  and  ${\mathcal B}$ 

$$F : \mathcal{A} \longrightarrow \mathcal{B}$$

the arrow

$$\exists_F : \mathscr{P} \mathscr{A} \longrightarrow \mathscr{P} \mathscr{B}$$

is defined as follows:



## **Universal image**

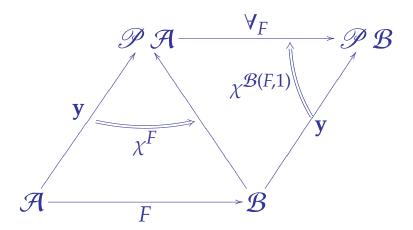
Given an arrow between admissible objects  ${\mathcal A}$  and  ${\mathcal B}$ 

$$F : \mathcal{A} \longrightarrow \mathcal{B}$$

the arrow

$$\forall_F : \mathscr{P} \mathscr{A} \longrightarrow \mathscr{P} \mathscr{B}$$

is defined as follows:



# **Monads with arity**

An idea by Mark Weber

# **Category with arity**

A fully faithful and dense functor

$$i_0 : \Theta_0 \longrightarrow \mathcal{A}$$

where  $\Theta_0$  is a small category.

## **Category with arity**

This induces a fully faithful functor

$$\mathcal{A}(i_0,1)$$
 :  $\mathcal{A} \longrightarrow \mathscr{P}\Theta_0$ 

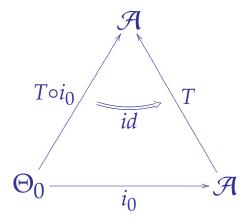
which transports every object A of the category  $\mathcal{A}$  into the presheaf

$$\mathcal{A}(i_0,A)$$
 :  $\Theta_0 \longrightarrow \mathbf{Set}$   $p \mapsto \mathcal{A}(i_0p,A)$ 

#### **Monads with arity**

A monad T on a category with arity  $(\mathcal{A}, i_0)$  such that:

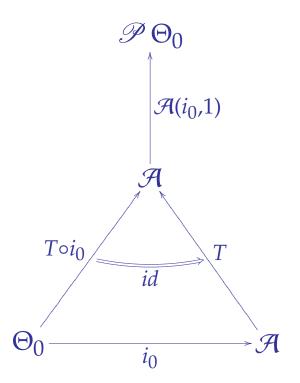
(1) the natural transformation exhibits the functor T



as a left kan extension of the functor  $T \circ i_0$  along the functor  $i_0$ ,

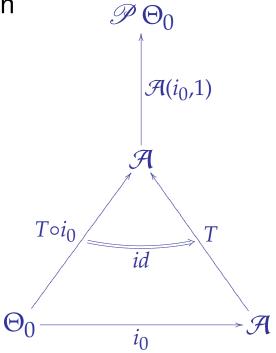
# **Monads with arity**

(2) this left kan extension is preserved by the inclusion functor to  $\mathscr{P} \Theta_0$ .



# Equivalently...

The natural transformation



exhibits  $\mathcal{A}(i_0, 1) \circ T$  as a left kan extension of  $\mathcal{A}(i_0, 1) \circ T \circ i_0$  along  $i_0$ .

#### Equivalently...

For every object A, the canonical morphism

$$\int^{p \in \Theta_0} \mathcal{A}(i_0 n, Ti_0 p) \times \mathcal{A}(i_0 p, A) \longrightarrow \mathcal{A}(i_0 n, TA)$$

is an isomorphism.

# Unique factorization up to zig-zag

Every morphism

$$i_0 n \longrightarrow TA$$

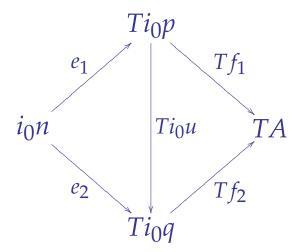
in the category  $\mathcal{A}$  decomposes as

$$i_0 n \xrightarrow{e} Ti_0 p \xrightarrow{Tf} TA$$

for a pair of morphisms  $e: i_0 n \to Ti_0 p$  and  $f: i_0 p \to A$ .

# Unique factorization up to zig-zag

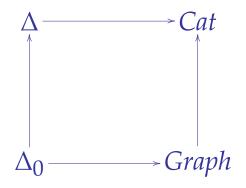
The factorization should be unique up to zig-zag of



# An abstract Segal condition

A general theorem by Mark Weber axiomatizing a theorem by Clemens Berger on higher dimensional categories

# Motivating example: the free category monad

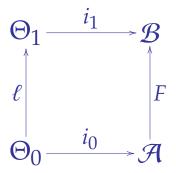


## Morphism between categories with arity

A morphism between categories with arity

$$(F,\ell)$$
 :  $(\mathcal{A},i_0)$   $\longrightarrow$   $(\mathcal{B},i_1)$ 

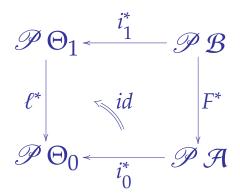
is defined as a pair of functors  $(F, \ell)$  making the diagram



commute.

### Morphism between categories with arity

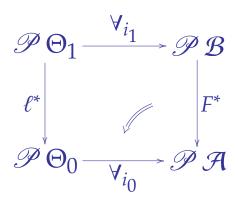
This induces a commutative diagram



which is required to be an exact square in the sense of Guitart.

## Morphism between categories with arity

This means that the Beck-Chevalley condition holds, which states that the canonical natural transformation



is reversible.

#### **Proposition A.**

For every morphism  $(F, \ell)$  between categories with arity

$$(F,\ell)$$
 :  $(\mathcal{A},i_0)$   $\longrightarrow$   $(\mathcal{B},i_1)$ 

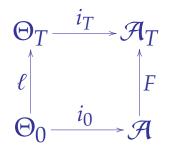
the adjunction  $i_1^* \dashv \forall_{i_1}$  induces an adjunction between

- the full subcategory of presheaves of  $\mathcal{B}$  whose restriction along F is representable in  $\mathcal{A}$ ,
- the full subcategory of presheaves of  $\Theta_1$  whose restriction along  $\ell$  is representable along  $i_0$ .

Moreover, this adjunction defines an equivalence when the functor F is essentially surjective.

#### **Proposition B.**

Every monad T with arity  $i_0$  induces a commutative diagram



where the pair  $(F, \ell)$  defines a morphism

$$(F,\ell)$$
 :  $(\mathcal{A},i_0)$   $\longrightarrow$   $(\mathcal{A}_T,i_T)$ 

of categories with arity.

# Algebraic theories with arity

A 2-dimensional approach to Lawvere theories

#### Algebraic theory with arity

An algebraic theory L with arity

$$i_0:\Theta_0\longrightarrow\mathcal{A}$$

is an identity-on-object functor

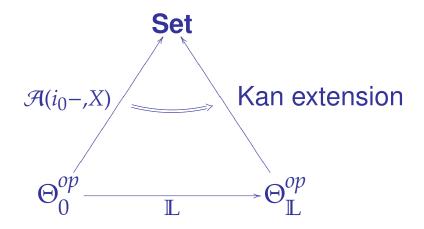
$$\mathbb{L} : \Theta_0 \longrightarrow \Theta_{\mathbb{L}}$$

such that the endofunctor

$$\mathscr{P}\Theta_0 \xrightarrow{\exists_{\mathbb{L}}} \mathscr{P}\Theta_{\mathbb{L}} \xrightarrow{\mathbb{L}^*} \mathscr{P}\Theta_0$$

maps a presheaf representable along  $i_0$  to a presheaf representable along  $i_0$ .

# Algebraic theories with arity



# Model of an algebraic theory

A model A of a Lawvere theory  $\mathbb{L}$  is a presheaf

$$A: \Theta^{op}_{\mathbb{L}} \longrightarrow \mathbf{Set}$$

such that the induced presheaf

$$\Theta_0^{op} \xrightarrow{i_0} \Theta_{\mathbb{L}}^{op} \xrightarrow{A} \mathbf{Set}$$

is representable along  $i_0$ .

#### **Main theorem**

the category of algebraic theories with arity  $(\mathcal{A}, i_0)$ 

is equivalent to

the category of monads with arity  $(\mathcal{A}, i_0)$