



# Towards an Internalization of the Groupoid Model of Type Theory

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# Groupoids as enriched sets

Moving away from identity types, we can realize a richer model:

Proof-irrelevance

Irrelevant Equality

Propositional extensionality

Logical equivalence

Functional extensionality

Pointwise equality

Univalence

Isomorphism

# Groupoids as enriched sets

- The Groupoid Model – Hofmann and Streicher '94, '98
- Univalent Foundations – Voevodsky, Awodey, Warren, ...
- Takeuti-Gandy for Type Theory (Setoids) – Barras & Coquand, 2013

# Goal of our work

- clarify what can be done intentionally with groupoids, clarify the need for identity types
- formalize the interpretation in a modular way
  - => replace groupoids by higher dimensional structure in the future
  - => require the use of universe polymorphism

# Groupoid vs Setoids

The setoid model (at the basis of e.g. OTT), assumes a proof-irrelevant equality:

$$\Sigma A : \mathbf{Type}. \Sigma \sim : A \rightarrow A \rightarrow \mathbf{Prop}.$$
$$\Sigma \sim_{equiv} : \forall x y, \mathbf{Equivalence} (x \sim y) \mathbf{eq}.$$
$$\Sigma irrel : \forall x y (p q : x \sim y), p = q \dots$$

# Groupoid vs Setoids

In Hofmann and Streicher:  $\llbracket T \rrbracket : GPD$ . GPD come with a relevant equality:

$$\Sigma A : \mathbf{Type}. \Sigma \sim : A \rightarrow A \rightarrow \mathbf{Type}.$$
$$\Sigma \sim_{equiv} : \forall x y, \mathbf{Equivalence} (x \sim y) \mathbf{eq} \dots$$

But morphisms representing identities are still identified up to *propositional* equality ( $\mathbf{eq} : \Pi A, A \rightarrow A \rightarrow \mathbf{Prop}$ ).

# Intensional vs extensional metatheory

In Hofmann and Streicher, the model is built in an *extensional* metatheory, so `eq` is reflective there.

In *intensional* type theory, we rather represent groupoids with an additional notion of (2-)equality.

$$\Sigma A : \mathbf{Type}. \Sigma \sim_1 : A \rightarrow A \rightarrow \mathbf{Type}.$$
$$\Sigma \sim_2 : \forall \{x\ y\} (p\ q : x \sim_1 y), \mathbf{Type}.$$
$$\Sigma \sim_{2\text{-equiv}} : \forall x\ y, \mathbf{Equivalence} (x \sim_1 y) \sim_2 \dots$$

E.g:  $\llbracket \mathbf{Prop} \rrbracket := (\mathbf{Prop}, \mathbf{iff}, \mathbf{irrel}, \dots).$

# $\infty$ -Groupoids

Ideally, we'd like to model  $\infty$ -groupoids, avoiding the need for truncation.

The groupoid case limits us:

- we need **functional extensionality**
- we need **identity types** to express it

A truly infinite-dimensional extension would lift these (using e.g, globular sets, operads, cubical sets).



# Our groupoid model

Stays agnostic w.r.t. future extension, entirely in categorical language (i.e. Dybjer's CwFs):

- Interprets MLTT with one universe,  $\Pi$ ,  $\Sigma$ , Id-types (no type polymorphism) into CIC (actually the ECC fragment + Id-types for truncations).
- Validates extensional equality principles + “Isomorphic types are equal”:

$$\frac{\Gamma \vdash i : \text{Elt } A \equiv \text{Elt } B}{\Gamma \vdash \text{equiv } i : \text{Id } \mathcal{U} \ A \ B}$$

# Defining groupoids, internally.

# Defining groupoids: Relations

Start with computational, proof-relevant relations:

Definition `HomSet` ( $T : \text{Type}$ ) :=  $T \rightarrow T \rightarrow \text{Type}$ .

Using classes and universe polymorphism, we get notations for 1- and 2-dimensional equalities:

Class `HomSet1`  $T$  := {`eq1` : `HomSet`  $T$ }.

Infix "`~1`" := `eq1` (at level 80).

Class `HomSet2` { $T$ } (*Hom* : `HomSet`  $T$ ) :=  
{`eq2` :  $\forall$  { $x$   $y$  :  $T$ }, `HomSet` (*Hom*  $x$   $y$ )}.

Infix "`~2`" := `eq2` (at level 80).

# Defining groupoids: Relations

Given a HomSet, we define type classes for equivalences:

**Class Identity**  $\{A\}$  ( $Hom : HomT A$ ) :=  
identity :  $\forall x, Hom\ x\ x$ .

**Class Inverse**  $\{A\}$  ( $Hom : HomT A$ ) :=  
inverse :  $\forall x\ y:A, Hom\ x\ y \rightarrow Hom\ y\ x$ .

**Class Composition**  $\{A\}$  ( $Hom : HomT A$ ) :=  
composition :  $\forall \{x\ y\ z:A\}, Hom\ x\ y \rightarrow Hom\ y\ z \rightarrow Hom\ x\ z$ .

# Pre-categories

In a **PreCategory**, coherences are given up-to  $\sim_2$ .

```
Class PreCategory  $T$  := { Hom1 :> HomSet1  $T$ ; Hom2 :> HomSet2 eq1;  
  Id :> Identity eq1; Comp :> Composition eq1;  
  Equivalence2 :>  $\forall x y, (\text{Equivalence } (\text{eq}_2 (x:=x) (y:=y)))$ ;  
  idR :  $\forall x y (f : x \sim_1 y), f \circ \text{identity } x \sim_2 f$  ;  
  idL :  $\forall x y (f : x \sim_1 y), \text{identity } y \circ f \sim_2 f$  ;  
  assoc :  $\forall x y z w (f : x \sim_1 y) (g : y \sim_1 z) (h : z \sim_1 w),$   
     $(h \circ g) \circ f \sim_2 h \circ (g \circ f)$ ;  
  comp :  $\forall x y z (f f' : x \sim_1 y) (g g' : y \sim_1 z),$   
     $f \sim_2 f' \rightarrow g \sim_2 g' \rightarrow g \circ f \sim_2 g' \circ f' }$ .
```

# Pre-groupoids

A **PreGroupoid** is a **PreCategory** where all 1-Homs are invertible and subject to additional compatibility laws for inverses.

```
Class PreGroupoid T := { C :> PreCategory T ; Inv :> Inverse eq1 ;  
  invR : ∀ x y (f : x ~1 y), f ∘ f-1 ~2 identity y ;  
  invL : ∀ x y (f : x ~1 y), f-1 ∘ f ~2 identity x ;  
  inv : ∀ x y (f f' : x ~1 y), f ~2 f' → f-1 ~2 f'-1 }.
```

# Groupoids and Contractibility

Groupoids are then PreGroupoids where

equality at dimension 2 is irrelevant

This irrelevance is defined using a notion of contractibility expressed with (relevant) Identity Types.

```
Class Contr (A : Type) := {  
  center : A ;  
  contr :  $\forall y : A, \text{center} = y$ }.
```

# Groupoids and Contractibility

By analogy to homotopy type theory, we note  $\text{IsType}_1$  the property of being a groupoid.

```
Class IsType1 T := { G :> PreGroupoid T ;  
  is_Trunc2 : ∀ (x y : T) (e e' : x ~1 y)  
    (E E' : e ~2 e'), Contr (E = E') }.
```

In the same way, we define  $\text{IsType}_0$  when equality is irrelevant at dimension 1.

```
Class IsType0 T := { S :> IsType1 T ;  
  is_Trunc1 : ∀ (x y : T) (e e' : x ~1 y) , Contr (e = e') }.
```



# Functors and natural transformations.

# Functors and natural transformations

Groupoid morphisms are functors:

```
Class Functor { T U : Type1 } (f : [T] → [U]) : Type :=  
{ map : ∀ {x y}, x ~1 y → f x ~1 f y ;  
  mapcomp : ∀ {x y z} (e : x ~1 y) (e' : y ~1 z),  
    map (e' ∘ e) ~2 map e' ∘ map e ;  
  map2 : ∀ {x y : [T]} {e e' : x ~1 y}, (e ~2 e') → map e ~2 map e' }.  
Definition Fun_Type (T U : Type1) := {f : [T] → [U] & Functor f}.
```

$T \longrightarrow U$  are functors from T to U

$M \star N$  is the application of the functor M to N

# Functors and natural transformations

need compatibility at level 2

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```

$T \longrightarrow U$  are functors from T to U

$M \star N$  is the application of the functor M to N

# Natural transformations

Equivalence between functors is given by natural transformations

Class `NaturalTrans`  $T U \{f g : T \longrightarrow U\} (\alpha : \forall t : [T], f \star t \sim_1 g \star t)$   
:=  $\alpha_{\text{map}} : \forall \{t t'\} (e : t \sim_1 t'), \alpha t' \circ \text{map } f e \sim_2 \text{map } g e \circ \alpha t$ .

Definition `nat_trans`  $T U : \text{HomSet } (T \longrightarrow U)$   
:=  $\lambda f g, \{\alpha : \forall t : [T], f \star t \sim_1 g \star t \ \& \ \text{NaturalTrans } \alpha\}$ .

# The function space groupoid structure

Functors, natural transformations and modifications form a  
**PreGroupoid**.

It requires functional extensionality to prove the truncation  
property of **Groupoids**.

# The (Pre-)Groupoid of Groupoids.

# Homotopy equivalences

Equivalence between groupoids is given by equivalences.

```
Class Iso_struct  $T$   $U$  ( $f : [T \longrightarrow U]$ ) :=  
{ adjoint : [ $U \longrightarrow T$ ] ;  
  section :  $f \circ$  adjoint  $\sim_2$  identity  $U$  ;  
  retraction : adjoint  $\circ f \sim_2$  identity  $T$  }.
```

# Homotopy equivalences

Actually, we use the triangle identity to get adjoint equivalences (it turns it into an hProp).

```
Class Equiv_struct  $T U (f : T \longrightarrow U) :=$   
{ iso : Iso_struct  $f$ ;  
  triangle :  $\forall t, \text{section} \star (f \star t) \sim_2 \text{map } f (\text{retraction} \star t)$ }.
```



# The (Pre-)Groupoid of Groupoids

We can define the **PreGroupoid** of **Groupoids** and homotopy equivalences.

It does not form a **Groupoid**.

But Setoids do form a groupoid.

Definition  $\text{Type}_0^1 : \text{Type}_1 := (\text{Type}_0 ; \text{Equiv}_{\text{Type}_0})$ .

Remark: Groupoids appear both in the type and the term  
 $\Rightarrow$  requires universe polymorphism.

# Rewriting.

# Rewriting: Transport

Transport is given by functoriality:

Definition  $\text{transport } A (F:[A \longrightarrow \text{Type}_1^1]) \{x \ y:[A]\} (e:x \sim_1 y)$   
:  $(F \star x) \longrightarrow (F \star y) := [\text{map } F \ e]$ .

The equational theory is derivable from the groupoid laws, e.g.:

Definition  $\text{transport}_{\text{eq}} A (F:[A \longrightarrow \text{Type}_1^1]) \{x \ y:[A]\}$   
 $\{e \ e':x \sim_1 y\} (H:e \sim_2 e')$   
:  $\text{transport } F \ e \sim_1 \text{transport } F \ e' := [\text{map}_2 \ F \ H]$ .

# Dependent Functions.

# Dependent Functors

As for functions, dependent functions are interpreted as functors, but of a dependent kind.

```
Class FunctorΠ T (U : [T → Type1]) (f : ∀ t, [U * t]) : Type := {  
  mapΠ : ∀ {x y} (e : x ~1 y), transport U e * (f x) ~1 f y ;  
  mapidΠ : ∀ x, mapΠ (identity x) ~2 transportid U * (f x);  
  mapcompΠ : ∀ x y z (e : x ~1 y) (e' : y ~1 z),  
    mapΠ (e' ∘ e) ~2 mapΠ e' ∘ transportmap U _ (mapΠ e) ∘  
      (transportcomp U e e' * -);  
  map2Π : ∀ x y (e e' : x ~1 y) (H : e ~2 e'),  
    mapΠ e ~2 mapΠ e' ∘ (transporteq U H * (f x))}.  
}
```

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    mapΠ (e' ∘ e) ~2 mapΠ e' ∘ transportmap U _ (mapΠ e) ∘  
      (transportcomp U e e' * -);  
  map2Π : ∀ x y (e e' : x ~1 y) (H : e ~2 e'),  
    mapΠ e ~2 mapΠ e' ∘ (transporteq U H * (f x))}.  
}
```

provides a dependent version of transport

# Dependent Natural Transformations

Equality between **dependent** functors is given by **dependent** natural transformations.

Class  $\text{NaturalTrans}^{\Pi} T (U:[T \longrightarrow \text{Type}_1^1]) \{f\ g: \Pi_T U\}$   
     $(\alpha : \forall t, f \star t \sim_1 g \star t) :=$   
     $\alpha_{\text{map}^{\Pi}} : \forall \{t\ t'\} e, \alpha\ t' \circ \text{map}^{\Pi} f\ e \sim_2 \text{map}^{\Pi} g\ e \circ \text{transport}_{\text{map}} U\ e (\alpha\ t).$

Definition  $\text{nat\_trans}^{\Pi} T (U:[T \longrightarrow \text{Type}_1^1]) (f\ g: \Pi_T U)$   
     $:= \{\alpha : \forall t : [T], f \star t \sim_1 g \star t \ \& \ \text{NaturalTrans}^{\Pi} \alpha\}.$

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 $:= \{\alpha : \forall t : [T], f \star t \sim_1 g \star t \ \& \ \text{NaturalTrans}^{\Pi} \alpha\}.$

Dependent functors form a **Groupoid**.



# Dependent Sums.

# Dependent Sums

In the interpretation of  $\Sigma$  types, we pay for the fact that we are missing the 2-dimensional nature of groupoids.

We must restrict to codomains in setoids.

Definition  $\Sigma_T T (U : [T \rightarrow \text{Type}_0^1]) := \{t : [T] \& [U \star t]\}$ .

# The interpretation of Type Theory.

# Takeuti-Gandy style interpretation

Following Dybjer, Hofmann&Streicher, Coquand et al., we interpret:

- Context  $\Gamma$  as a **Groupoid**
- Type  $\Gamma \vdash A$  as a functor from  $\Gamma$  to the **Groupoid** of setoids
- Context extension  $\Gamma, x : A \vdash$  is given by dependent sums
- Term  $\Gamma \vdash x : A$  as a functor from  $\Gamma$  to  $A$

# Takeuti-Gandy style interpretation

Following Dybjer, Hofmann&Streicher, Coquand et al.,  
we interpret:

- Context  $\Gamma$  as a  $\infty$ -Groupoid
- Type  $\Gamma \vdash A$  as a functor from  $\Gamma$  to the  $\infty$ -Groupoid of  $\infty$ -Groupoid
- Context extension  $\Gamma, x : A \vdash$  is given by dependent sums
- Term  $\Gamma \vdash x : A$  as a  $\infty$ -functor from  $\Gamma$  to  $A$

# 2 views on Dependent Types

A dependent type  $\Gamma, x : A \vdash B$  is interpreted in two equivalent ways:

- As a functor from  $\Sigma A$  to setoids
- As a type family over  $A$  (corresponding to a family of sets in constructive mathematics). A type family can be seen as a fibration from  $B$  to  $A$ .

Definition  $\text{TypFam} \{ \Gamma : \text{Context} \} (A : \text{Typ } \Gamma) :=$   
 $[\Pi (\lambda \gamma, (A \star \gamma) \upharpoonright_s \longrightarrow \text{Type}_0^1; \text{TypFam}_{\text{comp}} \_)]$ .

# 2 views on Dependent Types

Those 2 views can be related using a dependent closure at the level of types.

In the interpretation of typing judgments, this connection is used to switch between the fibration and the morphism points of view.

# The translation

Using those notions, we can define the translation of TT

Definition  $\text{Var } \{\Gamma\} (A:\text{Typ } \Gamma) : \text{Tm } \uparrow A := (\lambda t, \pi_2 t; \text{Var}_{\text{comp}} A)$ .

Definition  $\text{Prod } \{\Gamma\} (A:\text{Typ } \Gamma) (F:\text{TypFam } A)$   
 $: \text{Typ } \Gamma := (\lambda s, \Pi_0 (F \star s); \text{Prod}_{\text{comp}} A F)$ .

Definition  $\text{App } \{\Gamma\} \{A:\text{Typ } \Gamma\} \{F:\text{TypFam } A\}$   
 $(c:\text{Tm } (\text{Prod } F)) (a:\text{Tm } A) : \text{Tm } (F \{\{a\}\}) :=$   
 $(\lambda s, (c \star s) \star (a \star s); \text{App}_{\text{comp}} c a)$ .

Definition  $\text{Lam } \{\Gamma\} \{A:\text{Typ } \Gamma\} \{B:\text{TypDep } A\} (b:\text{Tm } B)$   
 $: \text{Tm } (\text{Prod } (\Lambda B)) := (\lambda \gamma, (\lambda t, b \star (\gamma ; t) ; -); \text{Lam}_{\text{comp}} b)$ .

Definition  $\text{Sigma } \{\Gamma\} (A:\text{Typ } \Gamma) (F:\text{TypFam } A)$   
 $: \text{Typ } \Gamma := (\lambda \gamma: [\Gamma], \Sigma (F \star \gamma); \text{Sigma}_{\text{comp}} A F)$ .

Definition  $\text{Beta } \{\Gamma\} \{A:\text{Typ } \Gamma\} \{F:\text{TypDep } A\} (b:\text{Tm } F) (a:\text{Tm } A)$   
 $: [\text{Lam } b \star a] = [b \circ \text{SubExtId } a] := \text{eq\_refl } \_$ .



# The translation

Using those notions, we can define the translation of TT

```
Definition Var {Γ} (A:Typ Γ) : Tm ↑A := (λ t, π₂ t; Var_comp A).
```

```
Definition Prod {Γ} (A:Typ Γ) (F:TypFam A)
```

```
  : Typ Γ := (λ s, II₂ (F s), SubComp λ ()).
```

```
Definition App {Γ} {A:Typ Γ} {F:TypFam A}
```

```
  (c:Tm (Prod F)) (a:Tm A) : Tm (F {a}) :=
```

**Need more automated reasoning using relevant rewriting  
(see next talk!)**

```
Definition Lam {Γ} {A:Typ Γ} {B:Typ (F A)}
```

```
  (b:Tm B) : Tm (Prod (Λ B)) := (λ γ, (λ t, b ★ (γ ; t) ; -); Lam_comp b).
```

```
Definition Sigma {Γ} (A:Typ Γ) (F:TypFam A)
```

```
  : Typ Γ := (λ γ: [Γ], Σ (F ★ γ); Sigma_comp A F).
```

```
Definition Beta {Γ} {A:Typ Γ} {F:TypDep A} (b:Tm F) (a:Tm A)
```

```
  : [Lam b ★ a] = [b ○ SubExtId a] := eq_refl ..
```

# Identity Types

The meaning of the identity types is given by induction on types instead of by an inductive type.

Definition  $\text{Id } \{\Gamma\} (A: \text{Typ } \Gamma) (a b : \text{Tm } A)$   
:  $\text{Typ } \Gamma := (\lambda \gamma, (a \star \gamma \sim_1 b \star \gamma ; -) ; \text{Id}_{\text{comp}} A a b)$ .

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:  $\text{Typ } \Gamma := (\lambda \gamma, (a \star \gamma \sim_1 b \star \gamma ; -); \text{Id}_{\text{comp}} A a b).$

We can interpret the J eliminator of MLTT on Id using functoriality of P and products.

The J equality rule holds up to  $\sim_2$  in the model.

# Univalent Type Theory

As equality between setoids is given by (adjoint) equivalence, we get a type theory with a univalent universe.

The precise formulation is still work in progress.

# Doggy Bag

- Groupoids can be internalised but this requires functional extensionality and the use of identity types for contractibility.
- We have a (partially) formalised interpretation of a type theory with a univalent universe.
- Should scale to higher-order models (ie. cubical sets)